

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
MCGIMPSEY THOMAS O						ADVANCED ENERGY INDUSTRIES INC [ AEIS ]							Director	Director 10% Owner			
(Last)	(First	) (M	iddle)	3. Г	3. Date of Earliest Transaction (MM/DD/YYYY)								_X_ Officer (give title below) Other (specify below) EVP & Chief Admin Officer				
1595 WYNKOOP STREET, SUITE 800						2/2/2021											
(Street)				4. I	4. If Amendment, Date Original Filed (MM/DD/YYYY)							(Y) 6. Individual of	6. Individual or Joint/Group Filing (Check Applicable Line)				
DENVER, CO 80202 (City) (State) (Zip)												X_Form filed by One Reporting Person Form filed by More than One Reporting Person					
			Table I	- Non-	-Deri	ivati	ve Secu	rities Ac	quir	ed, Di	sposed o	f, or	Beneficially Own	ed			
1.Title of Security (Instr. 3)			E	te 2A. Deeme Execution Date, if any		3. Trans. Coo (Instr. 8)		or Disp	4. Securities Acquired (A) or Disposed of (D) [Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		6. 7. Nature Ownership Form: 9 General Direct (D) Ownership or Indirect (Instr. 4)			
Common Stock				2/2/2021				Code F	V	Amoun	t (Ď)	Price \$111.8		07.10(2)		(I) (Instr. 4) <b>D</b>	
Common Stock	Tab	ole II - Dei	rivative			Bene	ficially		(e.g.,	722 (1)			ts, options, conver	rtible secu	urities)	В	
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	e	3A. Deem Execution Date, if an	ı (Inst	rans. ( tr. 8)	Code 5. Number Derivative Acquired Disposed (Instr. 3,		e Securities (A) or of (D)		6. Date Exercisable and Expiration Date			e and Amount of ities Underlying ative Security 3 and 4)	Derivative Security	Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			Co	ode	V	(A)	(D)	Date	e rcisable	Expiration Date	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	

#### **Explanation of Responses:**

- (1) Payment of tax liability by witholding securities incident to vesting of performance stock units.
- (2) Represents 10,053 shares of unvested restricted stock units and 28,495 shares of common stock.

#### Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
MCGIMPSEY THOMAS O							
1595 WYNKOOP STREET, SUITE 800			EVP & Chief Admin Officer				
DENVER, CO 80202							

### **Signatures**

/s/ Thomas O. McGimpsey	2/4/2021		
**Signature of Reporting Person	Date		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.